

## **Transferring Appreciated Securities to the Angel Investor Foundation**

1. Note that the form has four areas to complete:
  - I. Approximate value (and restrictions if applicable).
  - II. List personal information to ensure that your gift can be identified.
  - III. It is important to note the number and description of the securities. The account information provided is needed by your broker to make the transaction.
  - IV. Please provide the name and telephone number of your stockbroker in case the Angel Investor Foundation needs to contact him/her.
  
2. Once you have completed the form, please email a scanned copy to:
  - a. Angel Investor Foundation  
Attention: Pat Gouhin, CEO & Corporate Secretary  
[pgouhin@angelcapitalassociation.org](mailto:pgouhin@angelcapitalassociation.org)
  
  - b. To your stockbroker to ask for the transfer to be made
  
3. The Angel Investor Foundation will call you directly to verify once the transaction is completed. If you do not receive a call within 48 hours after the transfer, please contact Pat Gouhin at [pgouhin@angelcapitalassociation.org](mailto:pgouhin@angelcapitalassociation.org) or (913-894-4700 ext 1)
  
4. The Angel Investor Foundation will formally acknowledge your gift of appreciated securities in writing.

**For further information, contact the Angel Investor  
Foundation at 913-894-4700 Ext 1**

# Angel Investor Foundation

## Appreciated Securities Gift Form

Print Name(s): \_\_\_\_\_

- I. It is my/our intention to gift appreciated securities with an approximate value of \$\_\_\_\_\_ to the Angel Investor Foundation (AIF) Growth Campaign.

My gift is designated for the following program / purpose:

\_\_\_\_\_

- III. I/we will direct a transfer of \_\_\_\_\_(quantity) shares of \_\_\_\_\_ to the following Angel Investor Foundation account:

NFS LLC  
DTC Number 0226  
FBO: Angel Investor Foundation  
AIF Broker: UMB Financial Services Inc.  
Account Number 087-738239

AIF Growth Campaign  
Attn: Pat Gouhin, CEO & Corporate Secretary  
Angel Investor Foundation  
10977 Granada Lane, Suite 103  
Overland Park, KS, 66211  
Tax I.D. #86-1212274

- IV. If needed, I/we give permission for the Angel Investor Foundation to contact my stock broker:

Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Signature(s): \_\_\_\_\_ Date: \_\_\_\_\_

Address: \_\_\_\_\_

Email Address(es): \_\_\_\_\_

*The Angel Investor Foundation and the Angel Capital Association are grateful for your generous gift and will send a letter of acknowledgment.*